

# Dynamics of Ericksen-Leslie Model for Nematic Liquid Crystal Flows with General Leslie Stress

Matthias Hieber

TU Darmstadt, Germany

Bordeaux

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joint work with Jan Prüss



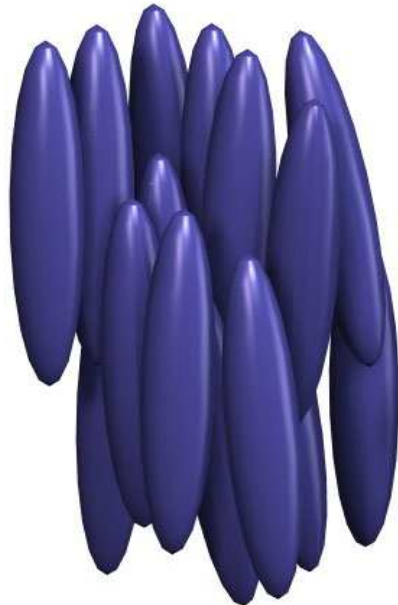
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# Liquid Crystals

## Liquid Crystals

- material that has properties between those of conventional **liquids** and those of **solids**
- e.g. liquid crystals flow like liquid, but molecules are oriented in crystal like way
- many different phases characterized by optical properties and **type of ordering**
- main phases : **nematic**, **smectic** and **cholesteric**



- ordered, freely floating



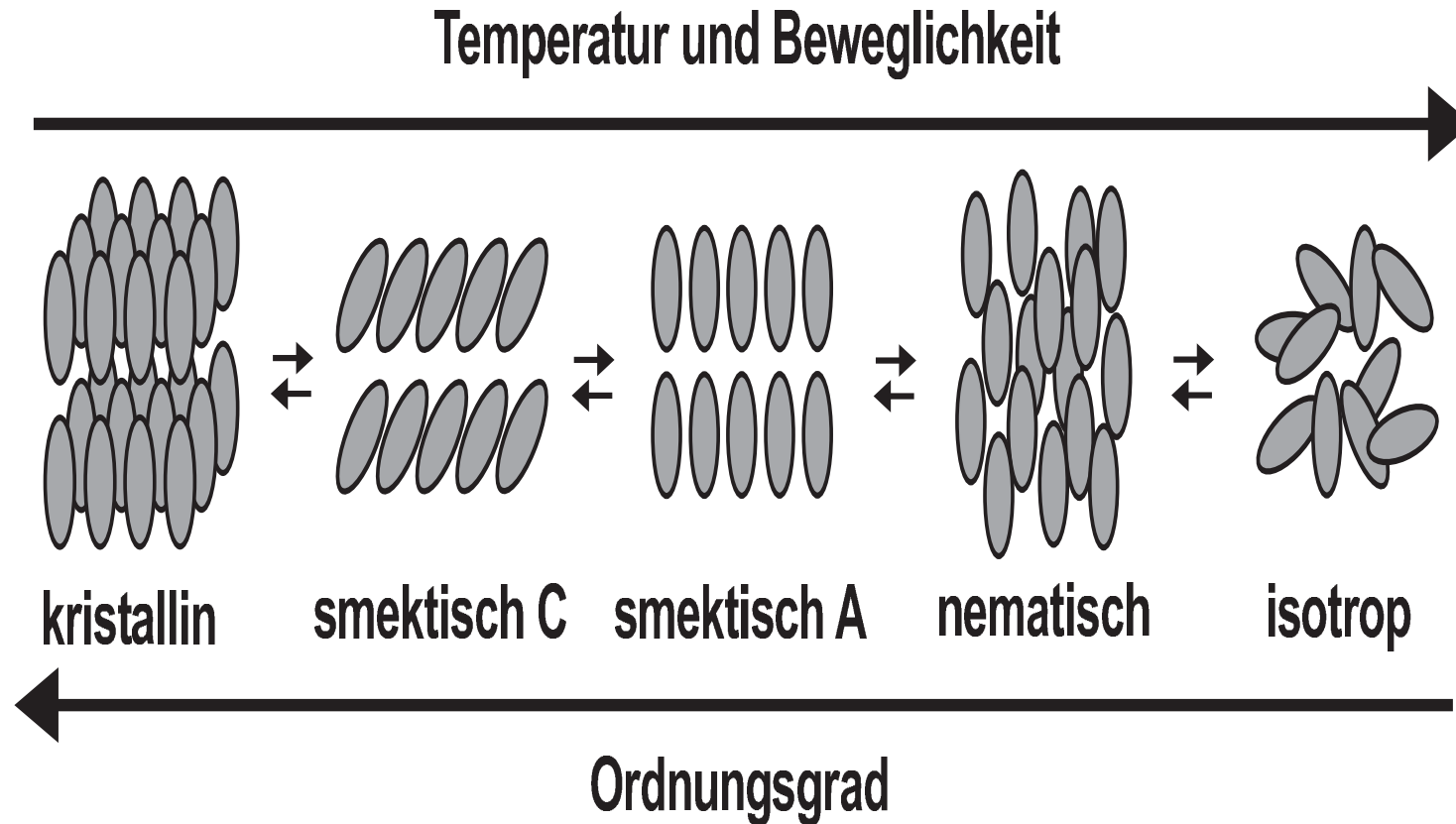
layer structure



twisted structure

# Nematic Liquid Crystals

Nematic versus crystal :



Nematic phase : **molecules align along a direction**

## Some History

- 1888 : first discovery by chemists R. Reinitzer
- 1940 : synthesization of many liquid crystals
- 1933, 1958 : first continuum theory by Oseen and Frank for stationary case : find energy densities obeying constitutive laws, e.g. frame indifference (rigorously, Virga 1994)
- 1949-86 : approach by Doi-Onsager
- 1962 : continuum theory for hydrodynamic flow by [J. Ericksen](#)
- 1968 : constitutive laws by [F. Leslie](#)
- 1991 : Nobel prize by P.-G. De Gennes, development of Q-tensor model
- 1995 : first [rigorous analysis](#) for [simplified versions](#) of Ericksen-Leslie model started by F. Lin and C. Liu
- 2013-2015 : well-posedness results for [general](#) Ericksen-Leslie model by Liu, Wu, Xu and Wang, P. Zhang, Z. Zhang and Li assuming [various conditions on Leslie coefficients](#)

# The general Ericksen-Leslie Model in $\mathbb{R}^3$ : original form

$$\begin{aligned}
 u_t + (u \cdot \nabla) u &= \operatorname{div} \sigma && \text{on } (0, T) \times \Omega, \\
 \operatorname{div} u &= 0 && \text{on } (0, T) \times \Omega \\
 d \times \left( g + \operatorname{div} \left( \frac{\partial W}{\partial (\nabla d)} \right) - \frac{\partial W}{\partial d} \right) &= 0 && \text{on } (0, T) \times \Omega, \\
 |d| &= 1 && \text{in } (0, T) \times \Omega \\
 (u, d)(0) &= (u_0, d_0) && \text{in } \Omega
 \end{aligned}$$

- $u$  velocity,  $\sigma$  stress tensor,  $d$  director describing orientation
- stress tensor  $\sigma = -pI - \frac{\partial W}{\partial d_{ki}} d_{kj} + \sigma^{Leslie}$
- $W = W(d, \nabla d)$  Oseen-Frank energy functional given by  
 $W = \frac{1}{2} [k_1 (\operatorname{div} d)^2 + k_2 |d \times (\nabla \times d)|^2 + k_3 |d(\nabla \times d)|^2 + (k_2 + k_4)(\operatorname{tr} (\nabla d)^2 - (\operatorname{div} d)^2)]$  with elasticity constants  $k_i$
- $\sigma^{Leslie} = \alpha_1 (dd : D) dd + \alpha_2 dN + \alpha_3 Nd + \alpha_4 D + \alpha_5 ddD + \alpha_6 Ddd$
- $D = D(u) = \frac{1}{2} [(\nabla u) + (\nabla u)^T]$
- $N = d_t + (u \cdot \nabla) d + V(u) d$  with  $V(u) = \frac{1}{2} [(\nabla u) - (\nabla u)^T]$
- $g = \lambda_1 N + \lambda_2 Dd$

# Aims

- strongly coupled, nonlinear system containing Navier-Stokes equations provided stress tensor would be Newtonian one
- understanding of model is not easy (at least for a mathematician)
- Aim I : **Understanding of EL-model** from physical principles also in **non-isothermal situation** : we use **entropy principle**
- Aim II : Understanding of EL-model from **analytical point of view** :
  - a) local well-posedness in the **strong sense**, i.e. existence of a unique, local strong solution,
  - b) determination of the set of all equilibria,
  - c) global existence of a strong solution provided the initial data are close to an equilibrium point in an appropriate norm,
  - d) convergence of solutions to the equilibrium set,
  - e) determination of the longtime behaviour of the solution.
- start with **simplified situation**
- general system reads as

# General System

$$\left\{ \begin{array}{l} \partial_t \rho + \operatorname{div}(\rho u) = 0 \\ \rho(\partial_t + u \cdot \nabla)u + \nabla \pi = \operatorname{div} S \\ \rho(\partial_t + u \cdot \nabla)\epsilon + \operatorname{div} q = S : \nabla u - \pi \operatorname{div} u + \operatorname{div}(\rho \partial_{\nabla d} \psi \mathcal{D}_t d) \\ \gamma(\partial_t + u \cdot \nabla)d - \mu_V Vd = P_d (\operatorname{div}(\rho \frac{\partial \psi}{\partial \nabla d}) - \rho \nabla_d \psi) + \mu_D P_d Dd, \\ \rho(0) = \rho_0, \quad u(0) = u_0, \quad \theta(0) = \theta_0, \quad d(0) = d_0 \end{array} \right.$$

- **boundary conditions** :  $u = 0, \quad q \cdot \nu = 0, \quad \nu_i \nabla_{\partial_i} \psi d = 0$  on  $\partial \Omega$

- **thermodynamical laws**

$$\epsilon = \psi + \theta \eta, \quad \eta = -\partial_\theta \psi, \quad \kappa = \partial_\theta \epsilon = -\theta \partial_\theta \psi, \quad \pi = \rho^2 \partial_\rho \psi,$$

where  $\psi = \psi(\rho, \theta, d, \nabla d)$  is **density of free energy**,

- **constitutive laws**

$$\left\{ \begin{array}{l} S = S_N + S_E + S_L^{stretch} + S_L^{diss}, \quad q = -\alpha_0 \nabla \theta - \alpha_1 (d | \nabla \theta) d. \\ S_N = 2\mu_s D + \mu_b \operatorname{div} u I, \quad S_E = -\rho \frac{\partial \psi}{\partial \nabla d} [\nabla d]^T, \\ S_L^{stretch} = \frac{\mu_D + \mu_V}{2\gamma} n \otimes d + \frac{\mu_D - \mu_V}{2\gamma} d \otimes n, \quad n = \mu_V Vd + \mu_D P_d Dd - \gamma \mathcal{D}_t d, \\ S_L^{diss} = \frac{\mu_P}{\gamma} (n \otimes d + d \otimes n) + \frac{\gamma \mu_L + \mu_P^2}{2\gamma} (P_d Dd \otimes d + d \otimes P_d Dd) + \mu_0 (Dd | d) d \otimes d \end{array} \right.$$

- $D = \frac{1}{2}(\nabla u + [\nabla u]^T), \quad V = \frac{1}{2}(\nabla u - [\nabla u]^T), \quad P = I - d \otimes d.$

- **Oseen-Frank** free energy density  $\psi$  given by

$$\psi^F = k_1 (\operatorname{div} d)^2 + k_2 |d \times (\nabla \times d)|_2^2 + k_3 |d \cdot (\nabla \times d)|^2 + (k_2 + k_4) [\operatorname{tr}(\nabla d)^2 - (\operatorname{div} d)^2]$$

# The simplified Ericksen-Leslie model

For a bounded domain  $\Omega \subset \mathbb{R}^n$ ,  $n \geq 2$ , consider

$$\begin{aligned} u_t - \Delta u + (u \cdot \nabla)u + \nabla \pi &= -\lambda \operatorname{div} ([\nabla d]^T \nabla d) && \text{in } (0, T) \times \Omega, \\ d_t + (u \cdot \nabla)d &= \gamma(\Delta d + |\nabla d|^2 d) && \text{in } (0, T) \times \Omega, \\ \operatorname{div} u &= 0 && \text{in } (0, T) \times \Omega, \\ |d| &= 1 && \text{in } (0, T) \times \Omega, \\ (u, \partial_\nu d) &= (0, 0) && \text{on } (0, T) \times \partial\Omega, \\ (u, d)(0) &= (u_0, d_0) && \text{in } \Omega \end{aligned}$$

where

- $u : (0, T) \times \Omega \rightarrow \mathbb{R}^n$  : velocity
- $\pi : (0, T) \times \Omega \rightarrow \mathbb{R}$  : pressure
- $d : (0, T) \times \Omega \rightarrow \mathbb{R}^n$  : macroscopic molecular orientation



# Approaches and Analysis since 1995

Above system has been considered rigorously first by

- Lin-Liu '95 : the term  $|\nabla d|^2 d$  is replaced by  $f(d) = \nabla F(d)$  for some  $F$ .
- in this case condition  $|d| = 1$  cannot be preserved
- Lin, Lin-Liu : replace this condition by **Ginzburg-Landau energy functional**, i.e.

$$f(d) = \nabla F(d) = \nabla \frac{1}{4\varepsilon^2} (|d|^2 - 1)^2.$$

This yields Ginzburg-Landau approximating system

$$\begin{aligned} u_t - \nu \Delta u + (u \cdot \nabla) u + \nabla \pi &= -\lambda \operatorname{div} ([\nabla d]^T \nabla d) && \text{in } (0, T) \times \Omega, \\ d_t + (u \cdot \nabla) d &= \gamma (\Delta d + \frac{1}{4\varepsilon^2} (1 - |d|^2) d) && \text{in } (0, T) \times \Omega, \\ \operatorname{div} u &= 0 && \text{in } (0, T) \times \Omega, \\ (u, \partial_\nu d) &= (0, 0) && \text{on } (0, T) \times \partial\Omega, \\ (u, d)(0) &= (u_0, d_0) && \text{in } \Omega \end{aligned}$$

# Approaches

Two type of approaches :

- I Fluid-type approach : couple equation for  $d$  to methods for Navier-Stokes
- II Geometric approach by harmonic maps on spheres : couple fluid equation to this geometric approach

Results (very far from complete)

- I Lin, Lin-Liu '95 :  $f$  of Ginzburg-Landau type : global weak solutions for  $d = 2, 3$ , global strong solutions for  $n = 2$
- II Lin, Wang : existence results via heat flow of harmonic maps
- I-II Wang '12 :  $\Omega = \mathbb{R}^d$  : global well-posedness provided data are small in  $BMO^{-1} \times BMO$
- I Feireisl et al, '12 : weak solutions for non-isothermal situation
- I Hong, Li, Xin '14 : solutions of Ginzburg-Landau approximating system converge for  $\varepsilon \rightarrow 0$  to original system

# The Quasilinear Approach

**Main idea** : incorporate the term  $\operatorname{div}([\nabla d]^T \nabla d)$  into the **quasilinear operator**  $A$  representing the left hand side of equation. More precisely, we rewrite

$$\begin{aligned} u_t - \nu \Delta u + (u \cdot \nabla)u + \nabla \pi &= -\lambda \operatorname{div}([\nabla d]^T \nabla d) && \text{in } (0, T) \times \Omega, \\ d_t + (u \cdot \nabla)d &= \gamma(\Delta d + |\nabla d|^2 d) && \text{in } (0, T) \times \Omega, \\ \operatorname{div} u &= 0 && \text{in } (0, T) \times \Omega, \\ (u, \partial_\nu d) &= (0, 0) && \text{on } (0, T) \times \partial\Omega, \end{aligned}$$

as

$$\partial_t \begin{pmatrix} u \\ d \end{pmatrix} + \begin{bmatrix} \mathcal{A}_q & \mathbb{P}\mathcal{B}_q(d) \\ 0 & \mathcal{D}_q \end{bmatrix} \begin{pmatrix} u \\ d \end{pmatrix} = \begin{pmatrix} -\mathbb{P}u \cdot \nabla u \\ -u \cdot \nabla d + |\nabla d|^2 d \end{pmatrix}$$

where

- $\mathcal{A}_q$  Stokes operator
- $\mathcal{D}_q$  Neumann-Laplacian operator
- $\mathbb{P}$  Helmholtz projection
- $[\mathcal{B}_q(d)h]_i := \partial_i d_l \Delta h_l + \partial_k d_l \partial_k \partial_i h_l$
- thus :  $\mathcal{B}_q(d)d = \operatorname{div}([\nabla d]^T \nabla d)$

# Liquid Crystals as Quasilinear Evolution Equation

We rewrite the (simplified) Ericksen-Leslie system as

$$\dot{z}(t) + A(z(t))z(t) = F(z(t)), \quad t \in J, \quad z(0) = z_0, \quad (1)$$

with

- state space  $X_0 := L_{q,\sigma}(\Omega) \times L_q(\Omega)^n$ ,  $1 < q < \infty$
- $\Omega \subset \mathbb{R}^d$  bounded domain with boundary  $\partial\Omega \in C^2$
- the quasilinear part  $A(z)$  given by the tri-diagonal matrix

$$A(z) = \begin{bmatrix} \mathcal{A}_q & \mathbb{P}\mathcal{B}_q(d) \\ 0 & \mathcal{D}_q \end{bmatrix},$$

- Stokes operator  $\mathcal{A}_q = -\mathbb{P}\Delta$  in  $L_{q,\sigma}(\Omega)$  with domain

$$D(\mathcal{A}_q) = \{u \in H_q^2(\Omega)^n : \operatorname{div} u = 0 \text{ in } \Omega, u = 0 \text{ on } \partial\Omega\}$$

- Neumann-Laplacian  $\mathcal{D}_q$  in  $L_q(\Omega)$  with domain

$$D(\mathcal{D}_q) := \{d \in H_q^2(\Omega)^n : \partial_\nu d = 0 \text{ on } \partial\Omega\}.$$

- $\mathcal{B}_q$  given by  $[\mathcal{B}_q(d)h]_i := \partial_i d_l \Delta h_l + \partial_k d_l \partial_k \partial_i h_l$
- $F(z) = (-\mathbb{P}u \cdot \nabla u, -u \cdot \nabla d + |\nabla d|^2 d)$

# Approach by maximal regularity

Local existence and regularity result for quasilinear problems

$$\dot{z}(t) + A(z(t))z(t) = F(z(t)), \quad t \in J, \quad z(0) = z_0,$$

- Let  $X_1 \xrightarrow{d} X_0$  and  $J = [0, a]$  for some  $a > 0$
- Let  $z_0 \in X_\gamma = (X_0, X_1)_{1-1/p, p}$  for  $p \in (1, \infty)$ 
  - (A)  $A \in C^\omega(X_\gamma; \mathcal{L}(X_0, X_1))$  and  $A(v)$  has maximal  $L_p$ -regularity for each  $v \in X_\gamma$
  - (F)  $F \in C^\omega(X_\gamma; X_0)$ .

Then, there exists  $a > 0$ , such that above system admits a unique solution  $z$  on  $J = [0, a]$  in the regularity class

- $z \in H_p^1(J; X_0) \cap L_p(J; X_1) \hookrightarrow C(J; X_\gamma) \cap C((0, a]; X_\gamma)$
- the solution depends continuously on  $z_0$  and can be extended to a maximal interval of existence  $J(z_0) = [0, t^+(z_0))$ .
- If  $z$  is such a solution on  $J = [0, a]$ , then

$$t^k \left[ \frac{d}{dt} \right]^k z \in H_p^1(J; X_0) \cap L_p(J; X_1), \quad k \in \mathbb{N}.$$

- $z$  is real analytic with values in  $X_1$  on  $(0, a)$ .

# Local Wellposedness

Summarizing, we obtain

- Let  $2/p + n/q < 1$ ,  $z_0 = (u_0, d_0) \in X_\gamma$ . i.e.  $u_0, d_0 \in B_{q,p}^{2-2/p}(\Omega)^n$  with  $\operatorname{div} u_0 = 0$  in  $\Omega$
- Then there is a **unique local solution**  $z \in H_p^1(J, X_0) \cap L_p(J; X_1)$  on  $J$ .
- Moreover,  $z \in C([0, a]; X_\gamma) \cap C((0, a]; X_\gamma)$ , i.e. the **solution regularizes instantly in time**.
- For each  $k \in \mathbb{N}$ ,  $t^k [\frac{d}{dt}]^k z \in H_p^1(J; X_0) \cap L_p(J; X_1)$  and  $z \in C^\omega((0, a); X_1)$ .

## Condition $|d| = 1$ is preserved

Condition  $|d| = 1$  is preserved by the flow induced by the Ericksen-Leslie model.

More precisely :

- Let  $z \in H_p^1(J; X_0) \cap L_p(J; X_1)$  be a solution of Ericksen-Leslie model on  $J = [0, a]$ .
- Then  $|d(t)| \equiv 1$  for all  $t \in [0, a]$ .
- **Proof** fairly easy : if  $\varphi = |d|^2 - 1$ , then

$$\partial_t |d|^2 = 2d \cdot \partial_t d, \quad \Delta |d|^2 = 2\Delta d \cdot d + 2|\nabla d|^2, \quad \nabla |d|^2 = 2d \cdot \nabla d,$$

multiplication with  $d$  yields

$$\begin{cases} \partial_t \varphi + u \cdot \nabla \varphi = \Delta \varphi + 2|\nabla d|^2 \varphi & \text{in } \Omega \\ \partial_\nu \varphi = 0 & \text{on } \partial\Omega, \\ \varphi(0) = 0 & \text{in } \Omega, \end{cases}$$

provided  $|d_0| \equiv 1$ .

- **Uniqueness** of this parabolic convection-reaction diffusion equations yields  $\varphi \equiv 0$ , i.e.  $|d| \equiv 1$ .

# Global Solutions

Consider the set of equilibria of (LCE) :

$$\mathcal{E} = \{z_* \in X_1 : A(z_*)z_* = F(z_*)\}.$$

and let  $A_0$  be the linearization of (LCE). Assume

- (A) and (F) holds
- $u_*$  is **normally stable**, i.e. 0 is semi-simple eigenvalue of  $A_0$ , i.e.  
 $N(A_0) \oplus R(A_0) = X_0$  and  $\sigma(A_0) \setminus \{0\} \subset \mathbb{C}_+$

**Principle of Linearized Stability** :

Then there exists  $\rho > 0$  such that solution  $z$  with  $z_0 \in B_{X_\gamma}(0, \rho)$  exists on  $\mathbb{R}_+$  and converges exponentially to  $u_\infty \in \mathcal{E}$  in  $X_\gamma$  as  $t \rightarrow \infty$ .



# Dynamics of Solutions : Convergence to Equilibria

- $\mathcal{E}_0 = \{0\} \times \mathbb{R}^n$  is obviously an equilibria for (LCE)
- linearization of (LCE) at  $z_* \in \mathcal{E}_0$  is given by  $\dot{z} + A_* z = f$ ,  $z(0) = z_0$  in  $X_0$ , with  $A_* = \text{diag}(A_q, D_q)$ ,  $D(A_*) = X_1$
- $u_* \in \mathcal{E}$  is normally stable, i.e.  $\sigma(A_*) \setminus \{0\} \subset [\delta, \infty)$  for  $\delta > 0$  and  $\ker(A_*) = \{0\} \times \mathbb{R}^n$

## Theorem :

Let  $p, q$  as above. Then for each equilibrium  $z_* \in \{0\} \times \mathbb{R}^n$  there exists  $\epsilon > 0$  such that a solution  $z(t)$  of (LCE) with initial data  $z_0 \in X_\gamma$ ,  $|z_0 - z_*|_{X_\gamma} \leq \epsilon$  exists globally and converges exponentially to  $z_\infty \in \{0\} \times \mathbb{R}^n$  in  $X_\gamma$ , as  $t \rightarrow \infty$

# Lyapunov Functionals

- Define **energy** by  $E := \frac{1}{2} \int_{\Omega} [ |u|^2 + |\nabla d|^2 ] dx = E_{kin} + E_{pot}$
- Calculation yields

$$\frac{d}{dt} E(t) = - \int_{\Omega} [ |\nabla u|^2 + |\Delta d + |\nabla d|^2 d|^2 ] dx$$

Hence,  $E(t)$  is non-increasing along solutions

- $E$  is even a **strict Ljapunov functional**, i.e. strictly decreasing along constant solutions.
- In fact : if  $dE(t)/dt = 0$  at some time, then  $\nabla u = 0$  and  $\Delta d + |\nabla d|^2 d = 0$  in  $\Omega$ . Hence  $u = 0$  and  $d$  satisfies the nonlinear eigenvalue problem

$$\left\{ \begin{array}{ll} \Delta d + |\nabla d|^2 d = 0 & \text{in } \Omega, \\ |d|^2 = 1 & \text{in } \Omega, \\ \partial_{\nu} d = 0 & \text{on } \partial\Omega. \end{array} \right. \quad (2)$$

# Determination of Equilibria

- Lemma : if  $d \in H_2^2(\Omega; \mathbb{R}^n)$  satisfies above eigenvalue problem, then  $d$  is constant in  $\Omega$ .
- Proof : explicit calculation and induction by  $n$
- Thus : energy functional  $E$  defined on  $X_\gamma$  is strict Ljapunov functional for (LCE). Equilibria are given by

$$\mathcal{E} = \{z_* = (u_*, d_*) : u_* = 0, d_* \in \mathbb{R}^n, |d_*| = 1\}$$

- Summary : rather complete understanding of dynamics of simplified model

# Finite Time Blow Up for Dirichlet Boundary Conditions

Consider the case where  $d = (0, 0, 1)$  on  $\partial\Omega$ , where  $\Omega =$  open unit ball in  $\mathbb{R}^3$ .

**Theorem** (Huang, Lin, Liu, Wang, 2015)

a) There exists  $\varepsilon_0 > 0$  such that if  $u_0 \in C_{c,\sigma}^\infty(\Omega, \mathbb{R}^3)$  and  $d_0 \in \{d \in C^\infty(\Omega, \mathbb{S}^2) : d = e \text{ on } \partial\Omega\}$  satisfies that  $d_0$  is not homotopic to the constant map  $e : \Omega \rightarrow \mathbb{S}^2$  relative to  $\partial\Omega$  and

$$\int_{\Omega} (|u_0|^2 + |\nabla d_0|^2) \leq \varepsilon^2,$$

then short time smooth solution  $(u, \pi, d)$  subject to  $d = e$  on  $\partial\Omega$  blows up before  $T = 1$ .

b) There are examples of initial data  $(u_0, d_0)$  satisfying the above assumptions.

## Back to Full Model

- how to understand the model and the many terms involved?
- how to proceed with the analysis?
- **basic idea** : try to understand the model from a **thermodynamical point of view**, develop a **thermodynamically consistent extension** of the model
- this understanding is also the key for analytical investigations

# Balance Laws for Mass, Momentum and Energy

The balance laws for mass, momentum and energy read as

$$\begin{aligned}\partial_t \rho + \operatorname{div}(\rho u) &= 0 && \text{in } \Omega, \\ \rho(\partial_t + u \cdot \nabla)u + \nabla \pi &= \operatorname{div} S && \text{in } \Omega, \\ \rho(\partial_t + u \cdot \nabla)\epsilon + \operatorname{div} q &= S : \nabla u - \pi \operatorname{div} u && \text{in } \Omega, \\ u = 0, \quad q \cdot \nu &= 0 && \text{on } \partial\Omega.\end{aligned}$$

- $\rho$  density,  $u$  velocity,  $\pi$  pressure,  $\epsilon$  internal energy,  $S$  extra stress and  $q$  heat flux.
- This gives **conservation of the total energy** since

$$\rho(\partial_t + u \cdot \nabla)e + \operatorname{div}(q + \pi u - Su) = 0 \quad \text{in } \Omega,$$

with  $e := |u|^2/2 + \epsilon$  energy density (kinetic and internal).

- Integrating over  $\Omega$  yields

$$\partial_t E(t) = 0, \quad E(t) = E_{kin}(t) + E_{int}(t) = \int_{\Omega} \rho(t, x) e(t, x) dx,$$

provided  $q \cdot \nu = u = 0$  on  $\partial\Omega$

# Basic Laws from Thermodynamics

- Ansatz : free energy  $\psi = \psi(\rho, \theta, \tau)$ ,  $\tau$  to be specified later.

- Then  $\epsilon = \psi + \theta\eta$  internal energy,

$$\eta = -\partial_{\theta}\psi \quad \text{entropy,}$$

$$\kappa = \partial_{\theta}\epsilon = -\theta\partial_{\theta}^2\psi \quad \text{heat capacity.}$$

- classical case, **Clausius-Duhem equation** reads as

$$\rho(\partial_t + u \cdot \nabla)\eta + \operatorname{div}(q/\theta) = S : \nabla u / \theta - q \cdot \nabla \theta / \theta^2 + (\rho^2 \partial_{\rho} - \pi)(\operatorname{div} u) / \theta \quad \text{in } \Omega.$$

- Hence, entropy flux  $\Phi_{\eta}$  is given by  $\Phi_{\eta} := q/\theta$

- **entropy production** by

$$\theta r := S : \nabla u - q \cdot \nabla \theta / \theta + (\rho^2 \partial_{\rho} - \pi)(\operatorname{div} u)$$

- boundary conditions employed yield that for total entropy N we have

$$\partial_t N(t) = \int_{\Omega} r(t, x) dx \geq 0, \quad N(t) = \int_{\Omega} \rho(t, x) \eta(t, x) dx,$$

provided  $r \geq 0$  in  $\Omega$ .

- $\operatorname{div} u$  has no sign, hence  $\pi = \rho^2 \partial_{\rho} \psi$ , **Maxwell's relation.**

- this leads to  $S : \nabla u \geq 0$  and  $q \cdot \nabla \theta \leq 0$ .

# Summary

- Summarizing : conservation of energy and total entropy is non-decreasing provided these conditions, Maxwell and (BC) are satisfied
- Thus, these conditions ensure thermodynamical consistency of the model.
- example : classical laws due to Newton and Fourier :

$$S := S_N := 2\mu_s D + \mu_b \operatorname{div} u I, \quad 2D = (\nabla u + [\nabla u]^T), \quad q = -\alpha_0 \nabla \theta.$$



# Liquid Crystals

- $\psi = \psi(\rho, \theta, \tau)$  with  $\tau = \frac{1}{2}|\nabla d|_2^2$
- $d$  orientation vector or director satisfying  $|d|^2 = 1$
- energy flux is now given by

$$\Phi_e := q + \pi u - Su - \Pi \mathcal{D}_t d, \quad \mathcal{D}_t = \partial_t + u \cdot \nabla d,$$

where  $\Pi$  has to be modeled.

- constitutive laws

$$S = S_N + S_E + S_L, \quad S_E = -\theta \lambda \nabla d [\nabla d]^T, \quad q = -\alpha_0 \nabla \theta - \alpha_1 (d \cdot \nabla \theta) d.$$

- $S_N$  means Newton stress,  $S_E$  the Ericksen stress and  $S_L$  the Leslie stress
- the balance of entropy, i.e. the Clausius-Duhem equation reads as

$$\rho(\partial_t + u \cdot \nabla)\eta + \operatorname{div} \Phi_\eta = r,$$

with  $\Phi_\eta = q/\theta$  and

## Evolution of director $d$

$$\begin{aligned} \theta r = & -q \cdot \nabla \theta / \theta + 2\mu_s |D|_2^2 + \mu_b |\operatorname{div} u|^2 + (\rho^2 \partial_\rho \psi - \pi) \operatorname{div} u \\ & + (\rho \partial_\tau \psi - \lambda) \nabla d [\nabla d]^T : \nabla u + (\Pi - \rho \partial_\tau \psi \nabla d) : \nabla \mathcal{D}_t d \\ & + S_L : \nabla u + (\operatorname{div} \Pi + \beta d) \cdot \mathcal{D}_t d. \end{aligned}$$

for some scalar function  $\beta$ .

- entropy production  $r$  nonnegative provided

$$\mu_s \geq 0, \quad 2\mu_s + n\mu_b \geq 0, \quad \alpha_0 \geq 0, \quad \alpha_0 + \alpha_1 \geq 0.$$

- The next five blue terms  $r$  have no sign, hence we require

$$\pi = \rho^2 \partial_\rho \psi, \quad \lambda = \rho \partial_\tau \psi / \theta, \quad \Pi = \rho \partial_\tau \psi \nabla d$$

- next, assume Leslie stress  $S_L$  vanishes :
- $\gamma \mathcal{D}_t d = \operatorname{div}[(\rho \partial_\tau \psi) \nabla] d + \beta d$  for some  $\gamma = \gamma(\rho, \theta, \tau) \geq 0$
- condition  $|d|_2 = 1$  requires  $\beta = \lambda |\nabla d|^2$
- this leads to the equation for  $d$

$$\gamma(\partial_t + u \cdot \nabla) d = \operatorname{div}[\lambda \nabla] d + \lambda |\nabla d|^2 d,$$

- basic equation for evolution of the director field  $d$
- entropy production :  $\theta r = -q \cdot \nabla \theta / \theta + 2\mu_s |D|_2^2 + \mu_b |\operatorname{div} u|^2 + \frac{1}{\gamma} |a|_2^2$ ,  
where  $a = \operatorname{div}[\lambda \nabla] d + \lambda |\nabla d|_2^2 d$

# Stretching and Vorticity

introduce **stretching stress** : set  $2V = \nabla u - [\nabla u]^T$

- set  $\mathbf{n} = \mu_V Vd + \mu_D P_d Dd - \gamma \mathcal{D}_t d$ , where  $\mu_V, \mu_D, \gamma$  scalar functions of  $\rho, \theta, \tau, \gamma > 0$
- define stretch tensor

$$S_L^{stretch} = \frac{\mu_D + \mu_V}{2\gamma} \mathbf{n} \otimes d + \frac{\mu_D - \mu_V}{2\gamma} d \otimes \mathbf{n}.$$

- entropy production becomes

$$S_L^{stretch} : \nabla u + \mathcal{D}_t d \cdot \mathbf{a} = \frac{1}{\gamma} (|\mathbf{a}|_2^2 + (\mathbf{n} + \mathbf{a}) \cdot (\mu_V Vd + \mu_D P_d Dd - \mathbf{a})).$$

- set  $\mathbf{n} + \mathbf{a} = 0$ , which yields **equation for  $d$  including stretch**

$$\gamma(\partial_t d + u \cdot \nabla d) = \operatorname{div}(\lambda \nabla) d + \lambda |\nabla d|_2^2 d + \mu_V Vd + \mu_D P_d Dd.$$

- it preserves the constraint  $|d|_2 = 1$
- $-N$ , where  $N$  is entropy, is strict Lyapunov functional as soon as  $\mu_s > 0, \quad 2\mu_s + n\mu_b > 0, \quad \alpha_0 > 0, \quad \alpha_0 + \alpha_1 > 0, \quad \gamma > 0$

# Additional Dissipation

add **additional dissipative terms** in the stress tensor of the form

$$S_L^{diss} = \frac{\mu_P}{\gamma}(n \otimes d + d \otimes n) + \frac{\gamma\mu_L + \mu_P^2}{2\gamma}(P_d Dd \otimes d + d \otimes P_d Dd) + \mu_0(Dd|d)d \otimes d,$$

- $S_L^{diss}$  is symmetric
- adding these terms will be thermodynamically consistent provided entropy production ensures that the **total entropy production remains nonnegative**
- total entropy production becomes

$$\begin{aligned} \theta r = & [\alpha_0 |\nabla \theta|_2^2 + \alpha_1 (d|\nabla \theta)^2] / \theta + 2\mu_s |D|_2^2 + \mu_b |\operatorname{div} u|^2 \\ & + \frac{1}{\gamma} |P_d \operatorname{div}(\lambda \nabla) d - \mu_P P_d Dd|_2^2 + \mu_L |P_d Dd|_2^2 + \mu_0 (Dd|d)^2. \end{aligned}$$

- for thermodynamical consistency need only

$$\alpha_0, \alpha_0 + \alpha_1 \geq 0, \quad \mu_s, 2\mu_s + n\mu_b \geq 0, \quad \mu_0, \mu_L \geq 0, \quad \gamma > 0.$$

# General Model : compressible fluid, isotropic elasticity

$$\left\{ \begin{array}{l} \partial_t \rho + \operatorname{div}(\rho u) = 0 \\ \rho(\partial_t + u \cdot \nabla)u + \nabla \pi = \operatorname{div} S \\ \rho(\partial_t + u \cdot \nabla)\epsilon + \operatorname{div} q = S : \nabla u - \pi \operatorname{div} u + \operatorname{div}(\lambda \nabla d \mathcal{D}_t d) \\ \gamma(\partial_t + u \cdot \nabla)d - \mu_V \nabla d = \operatorname{div}[\lambda \nabla]d + \lambda |\nabla d|^2 d + \mu_D P_d Dd, \\ \rho(0) = \rho_0, \quad u(0) = u_0, \quad \theta(0) = \theta_0, \quad d(0) = d_0 \end{array} \right. \quad \begin{array}{l} \text{in } \Omega, \\ \text{in } \Omega, \\ \text{in } \Omega, \\ \text{in } \Omega, \\ \text{in } \Omega. \end{array}$$

- **boundary conditions** :  $u = 0, \quad q \cdot \nu = 0, \quad \nu_i \nabla_{\partial_i d} \psi d = 0$  on  $\partial\Omega$

- **thermodynamical laws**

$$\epsilon = \psi + \theta \eta, \quad \eta = -\partial_\theta \psi, \quad \kappa = \partial_\theta \epsilon = -\theta \partial_\theta \psi, \quad \pi = \rho^2 \partial_\rho \psi,$$

where  $\psi = \psi(\rho, \theta, \tau)$  with  $\tau = \frac{1}{2} |\nabla d|^2$  **density of free energy**,

- **constitutive laws**

$$\left\{ \begin{array}{l} S = S_N + S_E + S_L^{stretch} + S_L^{diss}, \quad q = -\alpha_0 \nabla \theta - \alpha_1 (d |\nabla \theta) d. \\ S_N = 2\mu_s D + \mu_b \operatorname{div} u I, \quad S_E = -\lambda \nabla d [\nabla d]^T, \\ S_L^{stretch} = \frac{\mu_D + \mu_V}{2\gamma} n \otimes d + \frac{\mu_D - \mu_V}{2\gamma} d \otimes n, \quad n = \mu_V \nabla d + \mu_D P_d Dd - \gamma \mathcal{D}_t d, \\ S_L^{diss} = \frac{\mu_P}{\gamma} (n \otimes d + d \otimes n) + \frac{\gamma \mu_L + \mu_P^2}{2\gamma} (P_d Dd \otimes d + d \otimes P_d Dd) + \mu_0 (Dd | d) d \otimes d \end{array} \right.$$

# General Model : Non-Isotropic Elasticity

- free energy  $\psi = \psi(\rho, \theta, d, \nabla d)$
- Ericksen stress tensor  $S_E = -\rho \frac{\partial \psi}{\partial (\nabla d)} [\nabla d]^T$
- equation for  $d$  :  $\gamma \mathcal{D}_t d = P_d a + \mu_V Vd + \mu_D P_d Dd$
- $a = \partial_i (\rho \nabla_{\partial_i d} \psi) - \rho \nabla_d \psi$

$$\left\{ \begin{array}{l} \partial_t \rho + \operatorname{div}(\rho u) = 0 \\ \rho(\partial_t + u \cdot \nabla)u + \nabla \pi = \operatorname{div} S \\ \rho(\partial_t + u \cdot \nabla)\epsilon + \operatorname{div} q = S : \nabla u - \pi \operatorname{div} u + \operatorname{div}(\rho \partial_{\nabla d} \psi \mathcal{D}_t d) \\ \gamma(\partial_t + u \cdot \nabla)d - \mu_V Vd = P_d (\operatorname{div}(\rho \frac{\partial \psi}{\partial \nabla d}) - \rho \nabla_d \psi) + \mu_D P_d Dd, \\ \rho(0) = \rho_0, \quad u(0) = u_0, \quad \theta(0) = \theta_0, \quad d(0) = d_0 \end{array} \right.$$

- boundary conditions, thermodynamical and constitutive laws as before
- $S = S_N + S_E + S_L^{stretch} + S_L^{diss}$  and  $S_E = -\rho \frac{\partial \psi}{\partial (\nabla d)} [\nabla d]^T$ .

# Analysis : Case of Incompressible Fluids

case of **incompressible fluids, isotropic elasticity** :  $\rho = \text{const}$ ,  $\tau = \frac{1}{2}|\nabla d|^2$  :

$$\left\{ \begin{array}{ll} \rho \mathcal{D}_t u + \nabla \pi = \text{div } S & \text{in } \Omega, \\ \text{div } u = 0 & \text{in } \Omega, \\ \rho \mathcal{D}_t \epsilon + \text{div } q = S : \nabla u + \text{div}(\lambda \nabla d \mathcal{D}_t d) & \text{in } \Omega, \\ \gamma \mathcal{D}_t d - \mu_V \nabla d - \text{div}[\lambda \nabla] d = \lambda |\nabla d|^2 d + \mu_D P_d D d & \text{in } \Omega, \\ u = 0, \quad q \cdot \nu = 0, \quad \partial_\nu d = 0 & \text{on } \partial\Omega, \\ \rho(0) = \rho_0, \quad u(0) = u_0, \quad \theta(0) = \theta_0, \quad d(0) = d_0 & \text{in } \Omega. \end{array} \right. \quad (3)$$

- thermodynamical laws as above
- constitutive laws for  $S$  as above
- convenient to write the equation for energy as an equation for the temperature  $\theta$  :

$$\rho \kappa \mathcal{D}_t \theta + \text{div } q = (S - (1 - \theta \partial_\theta \lambda / \lambda) S_E) : \nabla u + \text{div}(\lambda \nabla) d \cdot \mathcal{D}_t d + (\theta \partial_\theta \lambda) \nabla d : \nabla \mathcal{D}_t d$$

- **third** order terms in  $d$  appear !
- Hence : **mixed order system**

# Approach via Quasilinear Evolution Equations

- define setting for **principal variable**  $v = (u, \theta, d)$
- $v \in X_0$  where **ground space**  $X_0 := L_{q,\sigma}(\Omega) \times Y_0$  with  $L_q(\Omega) \times H_q^1(\Omega)$  for  $1 < p, q < \infty$

- **regularity space**

$$X_1 = \{v \in H_q^2(\Omega) \cap L_{q,\sigma}(\Omega) : u = 0 \text{ on } \partial\Omega\} \times Y_1 \text{ with}$$

$$Y_1 = \{(\theta, d) \in H_q^2(\Omega) \times H_q^3(\Omega) : \partial_\nu \theta = \partial_\nu d = 0 \text{ on } \partial\Omega\}$$

- consider solutions within the class

$$E(J) := v \in H_p^1(J; X_0) \cap L_p(J; X_1),$$

where  $J = (0, a)$  with  $0 < a \leq \infty$

- if  $1 > 1/2 + (n+2)/2q$ , then time-trace  $X_\gamma$  of  $E(J)$  is

$$X_\gamma = \{v \in B_{qp}^{2(1-1/p)}(\Omega)^{2n} \cap X_0 : d \in B_{qp}^{1+2(1-1/p)}, u = \partial_\nu \theta = \partial_\nu d = 0 \text{ on } \partial\Omega\}$$

- state manifold :  $\mathcal{SM} = \{v \in X_\gamma : \theta(x) > 0, |d(x)|_2 = 1 \text{ in } \Omega\}$
- **rewrite Ericksen-Leslie system as quasi-linear evolution equation in  $X_0$**  of the form

$$\dot{v} + A(v)v = F(v), \quad t > 0, \quad v(0) = v_0,$$



## Main Result : Incompressible Fluid, Isotropic Elasticity

- Let  $J = (0, a)$ ,  $1 < p, q < \infty$ ,  $1 > 1/2 + 1/p + n/2q$
- let  $\psi \in C^3$  and  $\alpha, \mu_j, \gamma \in C^2$
- assume  $\mu_s > 0, \alpha > 0, \mu_0, \mu_L \geq 0, \kappa, \gamma > 0, \lambda, \lambda + 2\tau\partial_\tau\lambda > 0$

### Theorem :

- (Local Well-Posedness) :

- ▶ Let  $v_0 \in X_\gamma$ . Then for some  $a = a(v_0) > 0$ , there is a unique solution

$$v \in H_{p,\mu}^1(J, X_0) \cap L_{p,\mu}(J; X_1),$$

- ▶ Moreover,  $v \in C([0, a]; X_\gamma) \cap C((0, a]; X_\gamma)$ , i.e. the solution regularizes instantly in time.
- ▶ solution exists on a maximal time interval  $J(v_0) = [0, t^+(v_0))$ .
- ▶  $|d(\cdot, \cdot)|_2 \equiv 1$ ,  $E(t) \equiv E_0$ , and  $-N$  is a strict Lyapunov functional.

- (Stability of Equilibria) :

Any equilibrium  $v_* \in \mathcal{E}$  of above system is stable in  $X_\gamma$  in the sense that for each  $v_* \in \mathcal{E}$  there is  $\varepsilon > 0$  such that if  $v_0 \in \mathcal{SM}$  with  $|v_0 - v_*|_{X_{\gamma,\mu}} \leq \varepsilon$ , then the solution  $v$  with initial value  $v_0$  exists globally in time and converges at an exponential rate in  $X_\gamma$  to some  $v_\infty \in \mathcal{E}$ .

# Key Ideas of Proof : Part I

## Step 1 : Linearization :

- linearize system at initial value  $v_0 = [u_0, \theta_0, d_0]^T$  and drop all terms of lower order. This yields the **principal linearization**

$$\begin{cases} \mathcal{L}_\pi(\partial_t, \nabla)v_\pi = f & \text{in } J \times \Omega, \\ u = \partial_\nu \theta = \partial_\nu d = 0 & \text{on } J \times \partial\Omega, \\ u = \theta = d = 0 & \text{on } \{0\} \times \Omega. \end{cases}$$

- here  $v_\pi = [u, \pi, \theta, d]^T$  unknown and  $f = [f_u, f_\pi, f_\theta, f_d]^T$  given data.
- differential operator  $\mathcal{L}_\pi(\partial_t, \nabla)$  is defined via its **symbol**  $\mathcal{L}_\pi(z, i\xi)$

given by

$$\mathcal{L}_\pi(z, i\xi) = \begin{bmatrix} M_u(z, \xi) & i\xi & 0 & izR_1(\xi)^T \\ i\xi^T & 0 & 0 & 0 \\ 0 & 0 & m_\theta(z, \xi) & -iz\theta_0 ba(\xi) \\ -iR_0(\xi) & 0 & -iba(\xi) & M_d(z, \xi) \end{bmatrix},$$

with  $b = \partial_\theta \lambda$ , and  $\lambda_1 = \partial_\tau \lambda$ .

- **parabolic part**

$$\mathcal{L}(z, i\xi) = \begin{bmatrix} M_u(z, \xi) & 0 & izR_1(\xi)^T \\ 0 & m_\theta(z, \xi) & iz\theta_0 ba(\xi) \\ -iR_0(\xi) & iba(\xi) & M_d(z, \xi) \end{bmatrix}. \quad (4)$$

- entries of these matrices are given by

# Symbols

$$m_\theta = \rho\kappa z + \alpha|\xi|^2, \quad a(\xi) = \xi \cdot \nabla d_0$$

$$M_d = \gamma z + \lambda|\xi|^2 + \lambda_1 a(\xi) \otimes a(\xi) = m_d(z, \xi) + \lambda_1 a(\xi) \otimes a(\xi)$$

$$R_0 = \frac{\mu_D + \mu_V}{2} P_0 \xi \otimes d_0 + \frac{\mu_D - \mu_V}{2} (\xi|d_0) P_0$$

$$R_1 = \left( \frac{\mu_D + \mu_V}{2} + \mu_P \right) P_0 \xi \otimes d_0 + \left( \frac{\mu_D - \mu_V}{2} + \mu_P \right) (\xi|d_0) P_0$$

$$M_u = \rho z + \mu_s |\xi|^2 + \mu_0 (\xi|d_0)^2 d_0 \otimes d_0 + a_1 (\xi|d_0) P_0 \xi \otimes d_0 \\ + a_2 (\xi|d_0)^2 P_0 + a_3 |P_0 \xi|^2 d_0 \otimes d_0 + a_4 (\xi|d_0) d_0 \otimes P_0 \xi.$$

Here  $P_0 = P_{d_0} = I - d_0 \otimes d_0$ , and  $a_j$  are coefficients.

## Part II : Maximal $L^p$ -Regularity

Linearized system for  $\mathcal{L}$  admits a unique solution  $v_\pi = [u, \pi, \theta, d]^T$  with

$$(u, \theta) \in {}_0H_p^1(J; L_q(\Omega))^{n+1} \cap L_p(J; H_q^2(\Omega))^{n+1},$$

$$\pi \in L_p(J; \dot{H}_q^1(\Omega)),$$

$$d \in {}_0H_p^1(J; H_q^1(\Omega))^n \cap L_p(J; H_q^3(\Omega))^n,$$

if and only if

$$(f_u, f_\theta) \in L_p(J; L_q(\Omega))^{n+1}, f_d \in L_p(J; H_q^1(\Omega))^n, f_\pi \in {}_0H_p^1(J; H_q^{-1}(\Omega)) \cap L_p(J; H_q^1(\Omega)).$$

- to prove this, set  $J = \text{diag}(I, 1/\theta_0, zI)$
- show that symbol  $\bar{J}\mathcal{L}$  is accretive for  $\text{Re } z > 0$ , i.e. the associated system is strongly elliptic.
- note we do not need any structural conditions on coefficients
- How to deal with mixed order situation ?
- perform a **Schur reduction** to reduce to symbol depending only on  $u$ .
- resulting generalized Stokes symbol for  $(u, \pi)$  is strongly elliptic
- apply maximal regularity result for **non-Newtonian fluids** to obtain maximal regularity for  $\mathbb{R}^n$ .
- half space : verify Lopatinskii-Shapiro condition
- domains : localization procedure

## The subsystem for $w := (\theta, d)$

Consider subsystem associated with  $w := (\theta, d)$ . The principal part of the linearization becomes

$$\begin{aligned} \partial_t w + \mathcal{A}(w_0, \nabla) w &= f && \text{in } \Omega, \\ \partial_\nu w &= 0 && \text{on } \partial\Omega, \\ w(0) &= w_0 && \text{in } \Omega. \end{aligned}$$

- where  $\mathcal{A} = \mathcal{A}(w_0, \nabla)$  is given by

$$\mathcal{A} = \begin{bmatrix} -a_0 \Delta - a_1 \nabla d_0^\top \nabla d_0 : \nabla^2, & -b_0 \nabla d_0 : (\lambda_0 \Delta + \partial_\tau \lambda_0 [\nabla d_0]^\top \nabla d_0 : \nabla^2) \nabla \\ b_1 [\nabla d_0]^\top \nabla, & -\gamma_0^{-1} (\lambda_0 \Delta + \partial_\tau \lambda_0 [\nabla d_0]^\top \otimes \nabla d_0 : \nabla^2). \end{bmatrix}.$$

- Here  $\kappa_0 = \kappa(\theta_0, \tau_0)$  etc., and

$$a_0 = \frac{\alpha_0}{\rho \kappa_0}, \quad a_1 = \frac{[\partial_\tau \epsilon_0]^2}{\theta_0 \gamma_0 \kappa_0}, \quad b_0 = \frac{\partial_\tau \epsilon_0}{\gamma_0 \kappa_0}, \quad b_1 = \frac{\partial_\tau \epsilon_0}{\gamma_0 \theta_0}.$$

- $\mathcal{A}(w_0, \nabla)$  : second order diagonal, but third and first order off-diagonal
- This is a mixed-order problem

# Maximal Regularity for Subsystem in

$$Y_0 := L_q(\Omega) \times H_q^1(\Omega; \mathbb{R}^n)$$

- reduced variable  $w_{red} = [\theta, d_{red}]^T$  where  $d_{red} = c(\xi) \cdot d$  yields reduced symbol  $\mathcal{A}_{red}(\xi)$

$$\mathcal{A}_{red}(\xi) = \begin{bmatrix} a_0|\xi|^2 + a_1|c(\xi)|^2 & -ib_0(\lambda_0|\xi|^2 + \partial_\tau \lambda_0|c(\xi)|^2) \\ ib_1|c(\xi)|^2 & \frac{\lambda_0}{\gamma_0}|\xi|^2 + \frac{\partial_\tau \lambda_0}{\gamma_0}|c(\xi)|^2 \end{bmatrix}.$$

- now : reduced symbol is homogeneous of second order and normally elliptic in the sense that  $\sigma(\mathcal{A}_{red}(\xi)) \subset (0, \infty)$  for each  $\xi \neq 0$ .
- hence : reduced equation has maximal regularity
- regain  $d$  by solving

$$\partial_t d - \frac{\lambda_0}{\gamma_0} \Delta d = f_d^1 := f_d + i \frac{\partial_\tau \lambda_0}{\gamma_0} c(\nabla) d_{red} - b_1 c(\nabla) \theta, \quad t > 0, \quad d(0) = 0,$$

with  $d \in {}_0H_p^1(J; H_q^1(\mathbb{R}^n; \mathbb{R}^n)) \cap L_p(J; H_q^3(\mathbb{R}^n; \mathbb{R}^n))$

for  $f_d^1 \in L_p(J; H_q^1(\mathbb{R}^n; \mathbb{R}^n))$ .

## Part III : Local Existence

Rewrite Ericksen-Leslie system as quasi-linear evolution equation

$$\dot{v} + A(v)v = F(v), \quad t > 0, \quad v(0) = v_0,$$

- $v = (u, \theta, d)$  and Helmholtz projection  $P$  is applied to the equation for  $u$
- base space  $X_0 := L_{q,\sigma}(\Omega) \times Y_0$  with  $Y_0 = L_q(\Omega) \times H_q^1(\Omega)$
- quasilinear theory : for some  $a = a(z_0) > 0$ , there is a unique solution

$$z \in H_p^1(J, X_0) \cap L_p(J; X_1), \quad J = [0, a],$$

of EL-system on  $J$ .

- Moreover,  $t[\frac{d}{dt}]z \in H_p^1(J; X_0) \cap L_p(J; X_1)$
- $|d(t, x)|_2 \equiv 1$ ,  $E(t) \equiv E_0$ , and  $-N$  is a strict Lyapunov functional
- Ericksen-Leslie system generates a local semi-flow in its natural state manifold  $\mathcal{SM}$ .

## Part IV : Dynamics

- Linearization of (EL)-System at an equilibrium  $v_* = (0, \theta_*, d_*)$  is given by  $A_* = A(v_*)$  in  $X_0$ .
- This operator has maximal  $L_p$ -regularity, it is the negative generator of a **compact analytic  $C_0$ -semigroup**, and it has *compact resolvent*.
- $\sigma(A_*)$  consists only of **countably many eigenvalues of finite multiplicity**, which have all positive real parts, hence are stable, except for 0.
- The **eigenvalue 0 is semi-simple**. Its eigenspace is given by

$$N(A_*) = \{(0, \vartheta, d) : \vartheta \in \mathbb{R}, d \in \mathbb{R}^n\},$$

hence it coincides with the set of constant equilibria  $\bar{\mathcal{E}}$

- apply **generalized principle of linearized stability**, to prove the stability assertion



# Case of Compressible Fluids

Recall that compressible models reads as

$$\left\{ \begin{array}{ll} \partial_t \rho + \operatorname{div}(\rho u) & = 0 & \text{in } \Omega, \\ \rho(\partial_t + u \cdot \nabla)u + \nabla \pi & = \operatorname{div} S & \text{in } \Omega, \\ \rho(\partial_t + u \cdot \nabla)\epsilon + \operatorname{div} q & = S : \nabla u - \pi \operatorname{div} u + \operatorname{div}(\lambda \nabla d \mathcal{D}_t d) & \text{in } \Omega, \\ \gamma(\partial_t + u \cdot \nabla)d - \mu_V \nabla d & = \operatorname{div}[\lambda \nabla]d + \lambda |\nabla d|^2 d + \mu_D P_d Dd, & \text{in } \Omega, \\ \rho(0) = \rho_0, \quad u(0) = u_0, \quad \theta(0) & = \theta_0, \quad d(0) = d_0 & \text{in } \Omega. \end{array} \right.$$

with boundary conditions, thermodynamical and constitutive laws as above

- approach is now much more involved, due to the **hyperbolic part** of the system
- local well-posedness and also the stability part are proven by introducing **Lagrangian coordinates**
- in contrast to incompressible case, here we **cannot use**  $d \in H_q^3$ , as the density  $\varrho$  does not have enough regularity.
- solution space is now given by

$$\rho \in H_p^1(J; H_q^1(\Omega)), \quad (u, \theta) \in H_p^1(J; L_q(\mathbb{R}^{n+1})) \cap L_p(J; H_q^2(\mathbb{R}^{n+1})),$$

while the director lies in

$$d \in H_p^2(J; {}_0H_q^{-1}(\Omega; \mathbb{R}^n)) \cap H_p^{1/2}(J; H_q^2(\Omega; \mathbb{R}^n)) \hookrightarrow H_p^1(J; H_q^1(\Omega; \mathbb{R}^n)),$$

# Compressible case : strong well-posedness

Let  $v = (\varrho, u, \theta, d)$ .

- state space  $X_\gamma := H_q^1(\Omega) \times B_{qp}^{2-2/p}(\Omega; \mathbb{R}^{n+1}) \times H_q^2(\Omega; \mathbb{R}^n)$
- state manifold  $\mathcal{SM} = \{v \in X_\gamma : \varrho, \theta > 0, |d|_2 = 1 \text{ in } \Omega, \operatorname{div}(\lambda \nabla) d \in B_{qp}^{1-2/p}(\Omega; \mathbb{R}^n) u = \alpha_0 \partial_\nu \theta + \alpha_1 (d|\nu) \partial_d \theta = \partial_\nu d = 0 \text{ on } \partial\Omega\}$
- manifold of equilibria :  
 $\mathcal{E} = \{v_* = (\varrho_*, 0, \theta_*, d_*) \in \mathbb{R}^{2n+2} : \rho_*, \theta_* > 0, |d_*|_2 = 1\}$

## Theorem

Regularity assumptions on coefficients as above. Then :

- compressible EL-system generates a local semi-flow in  $\mathcal{SM}$ , solution exists on a maximal time interval  $[0, t_+(v_0))$
- total mass  $M$  and total energy  $E$  are constant and negative total entropy  $-N$  is a strict Lyapunov functional.
- any equilibrium  $v_* \in \mathcal{E}$  of EL-system is stable in  $\mathcal{SM}$
- for each  $v_* \in \mathcal{E}$  there is  $\varepsilon > 0$  such that if  $v_0 \in \mathcal{SM}$  with  $|v_0 - v_*|_{X_\gamma} \leq \varepsilon$ , then the solution  $v$  of EL-system with initial value  $v_0$  exists globally and converges at an exponential rate in  $\mathcal{SM}$  to some  $v_\infty \in \mathcal{E}$ .

Thank you very much